

CABINET FOR HEALTH AND FAMILY SERVICES

— Department for — Community Based Services



Judges

Office of the Anspector General Vocates
Adult Protective Services
Commonwealth Guardianship
Local Coordinating Councils on Elder Abuse

Elder Justice Task Force Law Enforcement
Long Term Care Ombudsman US Attorney

AREA DEUELOPMENT DISTRICT Community Mental And Office of the Attorney General County

Survivors

TABLE OF CONTENTS



KENTUCKY ELDER ABUSE COMMITTEE 2021 FISCAL YEAR MEMBERSHIP

| | Eric Friedlander, Secretary Cabinet for Health and Family Services |
|---|--|
| | Victoria Elridge, Commissioner Department for Aging and Independent Living |
| | Marta Miranda-Straub, Commissioner Department for Community Based Services |
| | Wendy Morris, Commissioner Department for Behavioral Health, Development and Intellectual Disabilities |
| | Adam Mather, Inspector General Cabinet for Health and Family Services |
| | Keith Knapp Cabinet for Health and Family Services |
| | Sherry Culp State Long-Term Care (LTC) Ombudsman |
| | Jeff Edwards Kentucky Protection and Advocacy |
| | Shambra Mulder, Deputy Commissioner Department for Behavioral Health, Development and Intellectual Disabilities |
| | Stacy Carey, Branch Manager Department for Community Based Services, Adult Protection Branch |
| - | Elisha Mahoney Justice and Public Safety Cabinet |
| - | Matthew Johnson Kentucky State Police, Justice and Public Safety Cabinet |
| - | Teresa Davis Department for Public Health, Cabinet for Health and Family Services |
| - | Bryan Hubbard Medicaid Fraud Control Unit, Office of the Attorney General |
| | Betsy Johnson, President Kentucky Association of Health Care Facilities |
| | Eric Evans AARP Kentucky |
| - | Candy Pettry University of Kentucky Health Care |
| | Mackenzie Longoria Alzheimer's Association, Greater Kentucky and Southern Indiana Chapter |
| | Tim Veno, President/CEO Leading Age Kentucky |
| | Sue Greenwell Lincoln Trail Area Agency on Aging |
| | William F. Campbell, Assistant U.S. Attorney U.S. Attorney's Office, WDKY |
| | Vicki Green FIVCO Area Agency on Aging and Independent Living |
| | |

| 2024 CEV AF | LUT DOOTECTIVE | CEDVICEC CTATEVAIDE DATA |
|-------------|-----------------|--------------------------|
| ZUZI SFY AL | JULI PROTECTIVE | SERVICES STATEWIDE DATA |

| Ages 18-59 | | Ages 60 + |
|--|--|---|
| 36,271 calls received 10.6% decrease from 2020 | Total # of Calls Received | 21,317 calls received 10.3% decrease from 2020 |
| 3,077 met criteria 5.4% decrease from 2020 | Total # of Calls that Met Criteria Calls that meet acceptance criteria can contain multiple allegations of abuse, neglect, or exploitation. | 6,648 met criteria 5.3% decrease from 2019 |
| 745 referrals 69 substantiations 19.1% decrease from 2020 | Abuse The infliction of injury, sexual abuse, unreasonable confinement, intimidation, or punishment that results in physical pain or injury, including mental injury. | 974 referrals 93 substantiations 19.6% decrease from 2020 |
| 891 referrals 75 substantiations 12.9% decrease from 2020 | Caretaker Neglect The deprivation of services by a caretaker that are necessary to maintain the health and welfare of an adult. | 1,782 referrals 137 substantiations 12.3% decrease from 2020 |
| 1,292 referrals 437 substantiations 10.4% increase from 2020 | Self Neglect A situation in which an adult is unable to perform or obtain for himself or herself the goods that are necessary to maintain his or her health or welfare. | 3,555 referrals 1,032 substantiations 3.5% increase from 2020 |
| 294 referrals 32 substantiations 8.9% increase from 2020 | Exploitation Obtaining or using another person's resources, including but not limited to funds, assets, or property, by deception, intimidation, or similar means, with the intent to deprive the person of those resources. | 674 referrals 135 substantiations 13.6% decrease from 2020 |
| 163 referrals 22% decrease from 2020 | General Adult Services Provides adults an enhanced opportunity to attain or maintain a level of self-sufficiency and autonomy in the community. | 284 referrals 26% decrease from 2020 |
| 1,241 referrals 1.6% increase from 2020 | Court Ordered Guardianship Assessments An assessment completed as a part of an interdisciplinary team to provide information to a judge or jury during guardianship hearings. | 1,773 referrals 1.5% increase from 2020 |
| Individuals currently placed on registry | Caregiver 949 Misconduct 10.99 Registry | Increase in individuals on registry compared to 2020 |

2021 SFY ADULT PROTECTIVE SERVICES SIX YEAR TRENDS Ages 18-59 Ages 60 +

| | | 500 | 10 | | | | | | ٠.٥٠ | | | |
|--|--------------------|-------|----------------------|-----------------|---|--|---|---|-------|--------|-------|-------|
| 33,062 six year average 6.2% average year over year increase | | | | rease | Total # of Calls Received | 18,477 six year average 14.1% average year over year increase | | | | crease | | |
| | 4,890 15.7% (| | ar ave e crite | | | Total # of Met Criteria | | 7,603 six year average 45.0% average criteria met | | | | ; |
| 1 | 872 8.2% a | | ar aver substa | | d | Total # of Substantiated | 2 | 1,538 six year average 20.3% average substantiated | | | | d |
| | % avera % avera | | | | | Abuse | | 16.79% average year over year decline 8.91% average substantiated referrals | | | | |
| 2016 | 2017 | 2018 | 2019 | 2020 | 2021 | | 2016 | 2017 | 2018 | 2019 | 2020 | 2021 |
| 1,284 | 1,143 | 1,043 | 1,147 | 921 | 745 | # of Calls Met Criteria | 1,398 | 1,337 | 1,336 | 1,262 | 1,212 | 974 |
| 129 | 106 | 110 | 132 | 83 | 69 | Substantiated | 102 | 105 | 136 | 128 | 102 | 93 |
| | 5% aver % avera | | | | | Caretaker Neglect | | % aver | | | | |
| 2016 | 2017 | 2018 | 2019 | 2020 | 2021 | | 2016 | 2017 | 2018 | 2019 | 2020 | 2021 |
| 1,699 | 1,650 | 1,492 | 1,337 | 1,023 | 891 | # of Calls Met Criteria | 2,713 | 2,828 | 2,658 | 2,274 | 2,032 | 1,782 |
| 149 | 147 | 144 | 124 | 101 | 75 | Substantiated | | 204 | 266 | 182 | 158 | 137 |
| 2.21% average year over year decline 32.55% average substantiated referrals | | | vear ded ted refe | cline errals | Self Neglect 1.44% average year over year 28.52% average substantiated | | | | | | | |
| 2016 | 2017 | 2018 | 2019 | 2020 | 2021 | | 2016 | 2017 | 2018 | 2019 | 2020 | 2021 |
| 1,483 | 1,449 | 1,353 | 1,406 | 1,158 | 1,292 | # of Calls Met Criteria | 3,347 | 3,413 | 3,709 | 3,861 | 3,435 | 3,555 |
| 483 | 475 | 425 | 467 | 365 | 437 | Substantiated | 945 | 956 | 1,027 | 1,143 | 980 | 1,032 |
| | 0% aver 1% aver | | | | | Exploitation | 11.49% average year over year dec 20.08% average substantiated refer | | | | | |
| 2016 | 2017 | 2018 | 2019 | 2020 | 2021 | | 2016 | 2017 | 2018 | 2019 | 2020 | 2021 |
| 539 | 479 | 458 | 341 | 270 | 294 | # of Calls Met Criteria | 1,272 | 1,104 | 1,169 | 985 | 780 | 674 |
| 102 | 80 | 102 | 51 | 48 | 32 | Substantiated | 223 | 202 | 266 | 214 | 157 | 135 |
| 15.58% average year over year decline | | | year de | cline | General Adult Services | 14.25% average year over year decline | | | cline | | | |
| 2016 | 2017 | 2018 | 2019 | 2020 | 2021 | | 2016 | 2017 | 2018 | 2019 | 2020 | 2021 |
| 387 | 345 | 309 | 282 | 209 | 163 | # of Calls Met Criteria | 634 | 560 | 586 | 476 | 384 | 284 |
| 0.32% average year over year increase | | | ear incr | ease | Court Ordered Guardianship | 2.68% average year over year incr | | | ease | | | |
| 2016 | 2017 | 2018 | 2019 | 2020 | 2021 | Assessments | 2016 | 2017 | 2018 | 2019 | 2020 | 2021 |
| 1,239 | 1,299 | 1,397 | 1,419 | 1,222 | 1,241 | # of Calls Met Criteria | 1,571 | 1,755 | 1,900 | 1,896 | 1,747 | 1,773 |
| | | | | | | | | | | | | |

The total number of calls has been trending up, while the total number of calls that meet criteria has been trending down. The percent of substantiated calls has also been trending up for both age groups.

2021 OFFICE OF INSPECTOR GENERAL DATA (STATEWIDE)

July 1, 2020—June 30, 2021

* Data related to abuse, neglect, or misappropriation of resident property

| | Level of Care | SFY 2014 | SFY 2015 | SFY 2016 | SFY 2017 | SFY 2018 | SFY 2019 | SFY 2020 | SFY 2021 | 8yr Total | 8yr Average |
|--|------------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|--------------|----------------|
| | FCH | 9 | 4 | 4 | 4 | 3 | 7 | 1 | 1 | 33 | 4.13 |
| | ICF | 0 | 0 | 0 | 2 | 0 | 0 | 0 | 1 | 3 | 0.38 |
| Total # of complaint | ICF/IID | 22 | 14 | 13 | 16 | 12 | 16 | 17 | 9 | 119 | 14.88 |
| allegations in long term care | LNF | 7 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 8 | 1.00 |
| (LTC) facilities related to | NH | 1 | 1 | 1 | 2 | 1 | 1 | 0 | 0 | 7 | 0.88 |
| abuse, neglect, or | PCH | 7 | 3 | 1 | 5 | 5 | 4 | 4 | 11 | 40 | 5.00 |
| misappropriation of resident | PCH - FS | 66 | 80 | 70 | 47 | 72 | 62 | 93 | 55 | 545 | 68.13 |
| property | SNF DP | 102 | 79 | 60 | 65 | 71 | 70 | 72 | 80 | 599 | 74.88 |
| | SNF | 14 | 7 | 1 | 8 | 2 | 2 | 0 | 2 | 36 | 4.50 |
| | SNF/NF | 410 | 363 | 329 | 236 | 282 | 354 | 321 | 437 | 2,732 | 341.50 |
| | Totals | 638 | 551 | 480 | 385 | 448 | 516 | 508 | 596 | 4,122 | 515.25 |
| | FCH | 4 | 0 | 0 | 1 | 0 | 2 | 0 | 0 | 7 | 0.88 |
| | ICF | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 1 | 0.13 |
| | ICF/IID | 6 | 1 | 7 | 4 | 4 | 5 | 8 | 2 | 37 | 4.63 |
| Total # of LTC facility | LNF | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0.13 |
| allegations related to abuse, neglect, or misappropriation | NH | 0 | 0 | 1 | 1 | 0 | 0 | 0 | 0 | 2 | 0.25 |
| of resident property that | PCH | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 3 | 4 | 0.50 |
| were substantiated | PCH - FS | 22 | 15 | 19 | 11 | 14 | 15 | 27 | 14 | 137 | 17.13 |
| | SNF DP | 11 | 8 | 7 | 12 | 7 | 11 | 15 | 9 | 80 | 10.00 |
| | SNF | 2 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 3 | 0.38 |
| | SNF/NF | 58 | 73 | 62 | 43 | 52 | 55 | 63 | 87 | 493 | 61.63 |
| | Totals | 104 | 98 | 96 | 74 | 77 | 88 | 113 | 115 | 765 | 95.63 |
| Total/Substantiated Percent: | | 16.3% | 17.8% | 20.0% | 19 2% | 17.2% | 17 1% | 22.2% | 10 3% | 18 6% | |

FCH—Family Care Home

ICF—Intermediate Care Facility

ICF/IID—Intermediate Care Facility for Individuals with Intellectual Disabilities

LNF—Licensed Nursing Facility

NH—Nursing Home

PCH—Personal Care Home

PCH-FS—Freestanding Personal Care Home

SNF DP—Skilled Nursing Facility, Distinct Part ("Distinct Part" refers to a portion of the facility that is federally certified to provide SNF services. The distinct part must be physically distinguishable and fiscally separate for cost reporting.)

SNF—Skilled Nursing Facility

SNF/NF—Skilled Nursing Facility/Nursing Facility (This means the LTC is dually certified; NF beds are occupied by Medicaid beneficiaries and SNF beds are rehabilitative in nature/occupied by Medicare beneficiaries or dually eligible beneficiaries).

"They may forget your name, but they will not forget how you made them feel."
- Maya Angelou

2021 OFFICE OF ATTORNEY GENERAL DATA (STATEWIDE)

July 1st, 2020 - June 30th, 2021

| TOTAL | FRAUD COMPLAINTS | PERCENT OF TOTAL |
|--|---|--|
| 137 | PROVIDER FRAUD | 81.55% |
| 22 | GLOBAL DATA | 13.10% |
| 5 | GLOBAL FRAUD | 2.97% |
| 2 | CIVIL LITIGATION | 1.19% |
| 2 | RECIPIENT FRAUD | 1.19% |
| TOTAL | ABUSE, NEGLECT, OR EXPLOITATION | PERCENT OF TOTAL |
| 916 | CARETAKER NEGLECT | 54.52% |
| 629 | PATIENT ABUSE | 37.44% |
| 101 | EXPLOITATION/PATIENT FUNDS | 6.01% |
| 31 | OTHER ABUSE OR NEGLECT | 1.85% |
| 2 | OTHER OFFENSE | 0.12% |
| 1 | PROVIDER FRAUD | 0.05% |
| 81 Opened Cases 7/1/2020—6/30/2021 | 245 Pending Cases as of 6/30/2021 | 33 Closed Cases 7/1/2020—6/30/2021 |

2021 ADMINISTRATIVE OFFICE OF THE COURTS DATAJuly 1st, 2020 - June 30th, 2021

| | 14., 20., 2020 14 201., 2021 | |
|--------------------------------------|---|---|
| 73 charges 60 cases | CIRCUIT COURT The court of general jurisdiction that hears civil matters involving more than \$5,000, capital offenses and felonies, land dispute cases, and contested probate cases. It has the power to issue injunctions, writs of prohibition, writs of mandamus, and to hear appeals from district court and administrative agencies. | 32 convictions 44% conviction rate |
| 77 charges 63 cases | DISTRICT COURT The court of limited jurisdiction and handles juvenile matters, city and county ordinances, misdemeanors, violations, traffic offenses, probate of wills, arraignments, felony probable cause hearings, small claims involving \$2,500 or less, civil cases involving \$5,000 or less, voluntary and involuntary mental commitments, and cases relating to domestic violence and abuse. | 7 convictions 9% conviction rate |
| 27 charges | KNOWINGLY ABUSE/NEGLECT OF ADULT BY PERSON FELONY C - KRS 209.990(2) | 2 convictions |
| 2 charges | ATTEMPT, KNOWINGLY ABUSE/NEGLECT OF ADULT BY PERSON FELONY C - KRS 209.990(2) | 0 convictions |
| 1 charge | COMPLICITY, KNOWINGLY ABUSE/NEGLECT OF ADULT BY PERSON FELONY C - KRS 209.990(2) | 0 convictions |
| 14 charges | WANTON ABUSE/NEGLECT OF ADULT BY PERSON FELONY D - KRS 209.990(3) | 5 convictions |
| 4 charges | RECKLESS ABUSE/NEGLECT OF ADULT BY PERSON MISDEMEANOR A - KRS 209.990(4) | 8 convictions |
| 75 charges | KNOWINGLY EXPLOIT ADULT BY PERSON OVER \$300 FELONY C - KRS 209.990(5) | 10 convictions |
| 4 charges | ATTEMPT, KNOWINGLY EXPLOIT ADULT BY PERSON OVER \$300 FELONY C - KRS 209.990(5) | 5 convictions |
| 11 charges | COMPLICITY, KNOWINGLY EXPLOIT ADULT BY PERSON OVER \$300 FELONY C - KRS 209.990(5) | 2 convictions |
| 6 charges | WANTON/RECKLESS EXPLOIT ADULT BY PERSON OVER \$300 FELONY D- KRS 209.990(6) | 2 convictions |
| 1 charge | ATTEMPT, WANTON/RECKLESS EXPLOIT ADULT BY PERSON O/\$300 FELONY D - KRS 209.990(6) | 1 conviction |
| 3 charges | KNOWINGLY/WANTON/RECKLESS EXPLOIT ADULT BY PERSON \$300 OR LESS MISDEMEANOR A - KRS 209.990(7) | 3 convictions |
| 1 charge | *OBS* ATTEMPT, KNOWINGLY EXPLOIT ADULT* OVER \$300 BY CARETAKER FELONY C - KRS 209.990(5) | 1 conviction |
| 1 charge | FAILURE TO REPORT ABUSE/NEGLECT/EXPLOIT OF ADULT MISDEMEANOR B - KRS 209.030(2) | 0 convictions |
| | of all charges are related to the explaintion of an a | |

70% of all charges are related to the exploitation of an adult 61.5% of all convictions are related to the exploitation of an adult The percent of charges resulting in a conviction continues to increase year over year

DEPARTMENT FOR COMMUNITY BASED SERVICES

The Department for Community Based Services (department) is an innovative, solutions-focused learning organization built on a foundation of transparency in action and accountability for results. The department thrives on a culture of respect for diversity of opinion that is nurtured through open communication within our organization and among our partners.

MISSION

To build an effective and efficient system of care with Kentucky citizens and communities to:

- •Reduce poverty, adult and child maltreatment, and their effects:
- Advance person and family self-sufficiency, recovery, and resiliency;
- Assure all children have safe and nurturing homes and communities; and
- Recruit and retain a workforce and partners that operate with integrity and transparency.

DIVISION OF SERVICE REGIONS

The Division of Service Regions (DSR) oversees nine service regions throughout Kentucky. The division delivers direct services including public assistance eligibility determinations, moving families toward self-sufficiency, and provides adult and child protection and permanency. There are currently nine service regions: Cumberland, Eastern Mountain, Jefferson, Northeastern, Northern Bluegrass, Salt River Trail, Southern Bluegrass, The Lakes, and Two Rivers.

ADULT PROTECTIVE SERVICES TEAMS

There are 17 designated APS teams maintained and supported in each of the nine service regions. These specialized teams are designed to specifically investigate allegations of abuse, neglect, and exploitation of adults and to provide protective services where indicated.

Utilizing a multidisciplinary investigative design, pursuant to statutory and regulatory language, designated APS teams work closely with partnering agencies to interview and prevent mistreatment.

CENTRALIZED INTAKE

Centralized intake is the primary point of contact to make a report of abuse, neglect, and exploitation of adults. A referral can be made through phone contact, email, fax, or web portal. The call center is staffed 24 hours a day, 7 days a week to respond to any phone referrals. Other referral methods are only monitored Monday through Friday 8am—4:30pm. 1 (877) KY SAFE 1 or 1 (877) 597-2331

DIVISION OF PROTECTION AND PERMANENCY

The Division of Protection and Permanency (DPP/division) provides consultative services and technical assistance to local child and adult protective services offices regarding child and adult protection cases. The division coordinates permanency services, including the coordination of state efforts to recruit and certify adoptive homes for children in foster care. The division creates standards of practice for local office operation and implements statewide changes in coordination with state and federal legislation changes. The division also gathers data and creates reports to monitor the state's progress toward federal goals in child welfare services.

ADULT PROTECTION BRANCH CENTRAL OFFICE

The branch's main purpose is to support the APS teams housed within the service regions that provide adult protective and general adult services through case consultation, development of standards of practice, technical assistance, and development of data systems and case reviews. The branch is committed to keeping vulnerable adults safe in the least restrictive living arrangement.

ADULT PROTECTION BRANCH NURSE CONSULTANTS

APS nurse consultants assist APS staff with navigating the medical process, including but not limited to issues or questions related to diagnosis, medications, surgical procedures, translating medical terminology, and standards of care. Upon request, consultants may accompany APS staff on home and institutionalized client visits to help determine appropriate APS intervention and to ensure positive client outcomes.

DIVISION OF FAMILY SUPPORT

The Division of Family Support (DFS) administers the Supplemental Nutrition Assistance Program (SNAP), formerly known as food stamps, the Kentucky Transitional Assistance Program (KTAP), Kentucky Works Program, Family Alternatives Diversion Program, and the Medicaid programs.

DFS also manages the Community Services Block Grant, the SNAP Nutrition Education Program, the Low-Income Home Energy Assistance Program, and State Supplementation, and participates in the administration of electronic benefit transfer cards.

DIVISION OF ADMINISTRATION AND FINANCIAL MANAGEMENT

The Division of Administration and Financial Management (DAFM) is responsible for department financial management, budget activities, and oversight of policy, administrative regulations, state plans, and contract monitoring.

Records Management Section

The records management section within DAFM responds to requests for personal APS records as permitted by law.

DEPARTMENT FOR AGING AND INDEPENDENT LIVING

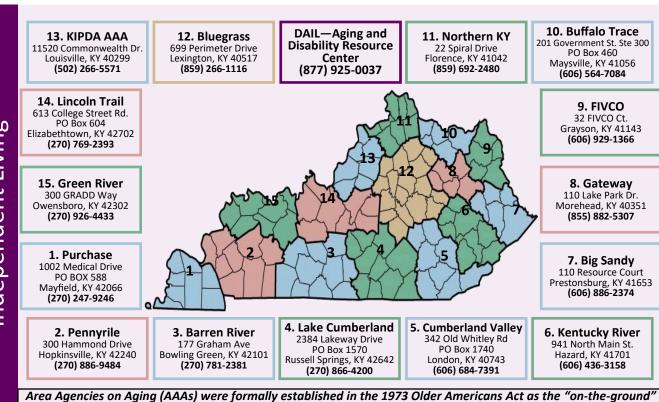
| AGING AND DISABILITY RESOURCE CENTER | A single point of entry for all long term services and support that empowers informed choices and streamlines access to services. |
|---|--|
| | 56,390 calls answered |
| CONGREGATE MEALS | Provides meals and nutrition education to persons age 60+ in a congregate setting. |
| HOME DELIVERED MEALS | Provides nutritional meals for persons age 60+ who are homebound or home-limited. 3,511,762 meals delivered * These numbers are a combination of congregate and home delivered meals |
| HART SUPPORTED LIVING | Provides supports that enable a person with disabilities to live in a home of the person's choice, encourages integrated participation in the community, promotes autonomy, and enhances skills in living in the community. |
| | 397 individuals with disabilities remain active in the community |
| PARTICIPANT DIRECTED SERVICES (PDS) | Allows persons eligible for services through Medicaid waivers - Acquired Brain Injury, Home and Community Based, Michelle P., and Supports for Community Living - to choose their own providers for non-medical services, giving beneficiaries flexibility in the delivery and type of services they receive. |
| | 20,961 individuals direct their own care |
| OLDER AMERICANS ACT IN-HOME SERVICES & | Provides in-home services to persons over age 60 who have functional or physical limitations and are at risk of institutionalization. |
| HOMECARE PROGRAM | 4,438 older adults remain in their home |
| PERSONAL CARE ATTENDANT PROGRAM | Provides services to adults with severe physical disabilities who have functional loss of two or more limbs and need services to prevent institutionalization or in order to leave an institutional setting. 221 adults with severe physical disabilities remain in their home |
| FAMILY CAREGIVER SUPPORT PROGRAM | Serves family and informal caregivers who provide care to people over age 60 or an individual of any age with Alzheimer's or a related diagnosis, and serves grandparents and other relatives over age 55 who provide care to children up to age 19. Services include information and assistance, counseling, support groups, training, respite, and limited financial assistance. 1,656 caregivers supported |
| KENTUCKY FAMILY | Supports grandparents, of any age, who are primary, full-time caregivers for a grandchild no |
| CAREGIVER PROGRAM | older than 18 years of age. Provides financial assistance in the form of a voucher to be utilized for the child's safety, stability, and welfare. |
| OLDER AMERICANS ACT SUPPORTIVE SERVICES | Provides information, services, and supports for individuals over 60 and their caregivers 32,476 older adults supported in living independently |
| SENIOR CENTERS | Provides supportive services and often serves as a congregate meal setting. Senior centers are represented in every Kentucky county, offering health promotion activities such as health screenings, exercise classes, and a variety of recreational and educational programs. Centers are integral, especially in rural communities, in promoting health and reducing social isolation. 195 senior centers |
| ASSISTED LIVING | Certifies social model assisted living communities to assure compliance with state statutes and |
| CERTIFICATION | regulations. 115 certifications |
| TRAUMATIC BRAIN INJURY TRUST FUND | Provides services to assist children and adults with brain injuries to maintain living in the community. |
| PROGRAM | 522 individuals with a brain injury remain in their home |
| STATE-HEALTH INSURANCE | Provides information, counseling, and assistance to seniors and disabled individuals, their families, and caregivers. |
| ASSISTANCE PROGRAM | \$2,863,742 saved for Medicare beneficiaries |
| HOME AND COMMUNITY BASED WAIVER PROGRAM | Provides services and supports to older adults or to children or adults with disabilities to help them to remain in or return to their homes. |
| SENIOR COMMUNITY SERVICE EMPLOYMENT PROGRAM | Employs persons over age 55 who have incomes below 125% of the poverty level in part-time, non-profit community work with the goal of skill development and eventual placement in unsubsidized employment. 121 seniors employed |
| | The second secon |

Area Agencies on Aging and Independent Living

Post 13 Post 12 Post 11 Post 10 Post 9 100 Justice Dr 1250 Louisville Rd 11 State Police Rd. 3319 South US 421 3499 North Mayo Trail Harlan, KY 40831 Hazard, KY 41701 Frankfort, KY 40601 London, KY 40741 Pikeville, KY 41501 (606) 435-6069 (502) 227-2221 (606) 878-6622 (606) 573-3131 (606) 433-7711 Post 8 Post 14 Kentucky State Police 1595 Flemingsburg Rd Morehead, KY 40351 5975 State Route US 60 Ashland, KY 41102 (606) 928-6421 (606) 784-4127 Post 7 Post 15 1118 Jamestown St 699 Eastern Bypass Columbia, KY 42728 Richmond, KY 40475 (270) 384-4796 (859) 623-2404 Post 16 Post 6 4265 US Highway 25 Dry Ridge, KY 41035 8298 Keach Dr Henderson, KY 42420 (270) 826-3312 (859) 428-1212 Post 1 Post 2 Post 3 Post 4 Post 5 1000 Western KY Pkwy 8366 State Route 45 3119 Nashville Rd 820 New Glendale Rd 160 Citation Lane North Hickory, KY 42051 PO Box 1025 Campbellsburg, KY 4001 Bowling Green, KY 42101 Elizabethtown, KY 42701 Nortonville, KY 42442 (270) 856-3721 (270) 782-2010 (270) 766-5078 (502) 532-6363 (270) 676-3313

Mission Statement: Promotes public safety through service, integrity and professionalism utilizing partnerships to:
1) Prevent, reduce, and deter crime and the fear of crime; 2) Enhance highway safety through education and enforcement and; 3) Safeguard property and protect individual rights.

COLLABORATIVE PARTNERS



Area Agencies on Aging (AAAs) were formally established in the 1973 Older Americans Act as the "on-the-ground" organizations charged with helping vulnerable older adults live with independence and dignity in their homes and communities.

Mission:

To empower each person to realize his or her place in the community as a citizen of the Commonwealth of Kentucky. To accomplish this mission, DDID will partner with and support persons with intellectual or developmental disabilities, families, advocates, stakeholders and government agencies.

Awareness and Prevention of Abuse/Neglect/Exploitation

- Provider staff are required to complete trainings on awareness and prevention of abuse/neglect/ exploitation prior to supporting individuals with intellectual or other developmental disabilities
- Human Rights Committee (HRC) and Behavior Intervention Committee (BIC) Training is required for all members of the committees.
- New Provider orientation and certification requires the following: policies and procedures developed and approved to include sections on safety, human rights, and behavior intervention committees; mission and values statements that include promoting dignity and self-worth; and completion of required training, including New Provider Orientation and training related to awareness and prevention of abuse/neglect/exploitation.
- Routine monitoring of providers to ensure that suspected abuse/neglect/exploitation are reported to Adult Protection Services and DBHDID. Technical assistance and citations are utilized as necessary to ensure compliance and continuous quality improvement at the provider agency level.
- Continuous quality improvement strategies are conducted on the statewide Critical Incident Reporting System (CIRS) database that allows cabinet departments to coordinate, track, trend, and follow-up on incidents of abuse/neglect/exploitation that have been entered into various systems across departments.

Year Ahead:

Continued capacity building of staff in awareness and prevention of abuse/neglect/exploitation involving individuals with intellectual or other developmental disabilities; and utilization of data to coordinate, track, trend, and conduct follow-up measures as warranted on reported incidents of abuse/neglect/exploitation.

COLLABORATIVE PARTNERS

Mission:

To improve the lives of all residents by enhancing the quality of life, improving the quality of care, protecting the individual rights and promoting the dignity of each resident that resides in a long-term care facility.

The responsibilities of the long-term care ombudsman include:

- Advocate for and protect the rights of residents;
- Identify, investigate, and work to solve problems/ complaints;
- Visit residents to build relationships, community, and monitor care;
- Recruit, train, and support volunteers;
- Provide assistance and education to individuals and families in communities;
- Educate providers of LTC; and
- Monitor government actions affecting residents.

To contact the Kentucky State LTC Ombudsman Program, call 1-800-372-2991, email nhoa@ombuddy.org, or visit the directory of local LTC ombudsmen at www.ombuddy.org.

1,993 complaints identified, investigated, and worked to resolve

30% of complaints were related to the Care category.

Top complaints within the Care category include response to requests for assistance, personal hygiene and incontinence care, symptoms unattended, medications, care planning, and accidents and falls.

59 complaints of abuse/neglect investigated

Year Ahead:

Many complaints about LTC are related to staffing problems. Short staffing, lack of staff training, and lack of staff supervision are at the root of many care issues. In the year ahead, resident advocates would like to see staffing requirements through regulatory and/or legislative changes. Kentucky law only requires a nursing home to have "sufficient" staff to meet the needs of residents. The term "sufficient" is vague and very difficult to measure. A study by the federal government determined that nursing home residents need at least 4.1 hours of care per day. This is the minimum amount of care residents need to prevent common quality of care problems and loss of the ability to do things independently, like eating. Staffing levels in nursing homes should be increased so each resident receives the recommended minimum of 4.1 hours of care every day.

During the COVID-19 pandemic, the LTC Ombudsman Program lost many volunteers. The LTC Ombudsman Program is working to recruit new volunteers without conflicts of interest and the ability to complete a comprehensive training. Anyone interested in volunteering can email nhoa@ombuddy.org for more information.

Department for Aging and Independent Living: Division of Guardianship

CHFS Office of the Ombudsman

Review

and Administrative

FY 20/21—Guardianship Clients 12% 41% intellectual dementia and/or related developmental diseases disability 42% 17% criminal age 60 +history 91% 35% Medicaid victims eligible of crime 4% 53% former serious foster mental illness children

Guardian of the Person:

- Determines and monitors residence
- Consents to and monitors medical treatment
- Consents and monitors non-medical services
- Consents to and releases confidential information
- Makes end of life decisions
- Maximizes independence in least restrictive manner
- Reports to the court at least annually

Guardian of the Estate (Conservator):

- Marshalls and protects assets
- Obtains appraisals of property
- Protects property and assets from loss
- · Receives income for the estate
- Makes appropriate disbursements
- Sells assets, as applicable
- · Report to the court on estate status

| FT 20/21—Services Frovided | | | | | | |
|-----------------------------------|--------------------------------------|--|--|--|--|--|
| | | | | | | |
| 8,612 | 1,062 | | | | | |
| medical decisions made | real and personal properties managed | | | | | |
| 4,626 | 1,005 | | | | | |
| home/virtual visits made | inventories completed | | | | | |
| | | | | | | |
| 1,631 | 6,280 | | | | | |
| court hearings attended | court reports completed | | | | | |
| | | | | | | |
| 4,343 | 665 | | | | | |
| care plan meetings attended | facility agreements signed | | | | | |

FY 20/21—Services Provided

5,939 persons served

assets \$40,256,913 +

COLLABORATIVE PARTNERS

The goal of the Office of the Ombudsman and Administrative Review is to improve governmental performance and the quality of service delivery. The office utilizes data analysis and case reviews to inform agencies and the Secretary's Office of findings. Based on those findings, collaborative efforts are initiated to review process flow between agencies and the collective outcome to recipients. CHFS' Office of the Ombudsman and Administrative Review handles complaints relating to APS by reviewing casework to determine if policy was followed and works with the Department for Community Based Services (DCBS) to resolve issues when necessary.

Complaint Review Branch (CRB) • Advises The Office of the

- Processes complaints and inquiries pertaining to the following human service programs: Supplemental Nutrition Assistance Program (SNAP) benefits, medical assistance benefits, Kentucky Transitional Assistance Program benefits, child support, child protective services, and adult protective services.
- Investigates complaints regarding program administration and recommends corrective action as necessary.
- Advises clients of rights and responsibilities.
- Assists in negotiating resolutions to problems clients may have with CHFS programs.

 Advises The Office of the Secretary of identified service delivery problems.

Institutional Review Board:

 Reviews research proposals involving cabinet clients or employees to protect their safety and rights.

Quality Advancement Branch:

- The Quality Advancement Branch processes appeals of child protective service findings and caretaker misconduct in APS investigations.
- The Quality Advancement Branch completes comprehensive reviews of services by other Cabinet departments.
- Makes recommendations for policy and legislative changes to improve service delivery.

552 APS INQUIRIES

CASES PROCESSED 101

Mission:

To protect and promote the rights of Kentuckians through Education, Awareness, and Prevention of abuse from fraud and scams, as well as assisting consumers with consumer complaints against businesses.

- OSP carries out its mission by offering a "triage" of services, training, and mediation to senior Kentuckians and collaborating partners.
- OSP assists consumers who are victims of fraud, scams, and identity theft, and collects data on scam trends to protect Kentuckians. Report scams • Since January 2016, OSP's advocacy and outreach online to ag.ky.gov/scams or by calling the Consumer Protection Hotline at 1-888-432-9257.
- The Mediation Branch mediates disputes between consumers of all ages and businesses regarding goods and services in the market place and
- compiles information on various scams in the Commonwealth.
- Since January 2016, more than \$3 million in restitution for goods and services have been recovered and returned to consumers.
- efforts have reached over 30,000 senior Kentuckians, agencies, and families and have visited over 300 locations in 53 counties to date.
- OSP is also a member of the Elder Justice Task Force with federal and state partners.

2019* 622 scam reports \$1,682,931.43 in losses

2020* 1,734 scam reports \$5,111,943.94 in losses (nearly \$3 million in losses reported by 60+)

2021* 6,662 scam reports \$11,432,303.49 in losses (up to Oct 20, 2021)

Report scams online to ag.ky.gov/scams or by calling the Consumer Protection Hotline at 1-888-432-9257.

* data presented as calendar year

COLLABORATIVE PARTNERS

In addition to its responsibility to investigate and prosecute fraud in the Kentucky Medicaid Program, the MFCU is the primary investigative division of the Office of the Attorney General charged with conducting investigations into adult abuse, neglect, and financial exploitation at Medicaid funded facilities and at board and care facilities. The unit has jurisdiction to investigate allegations of abuse, neglect, and financial exploitation of persons receiving care at these types of facilities regardless of whether the victim is a Medicaid patient. The MFCU also has jurisdiction to investigate financial exploitation of residents of these facilities that is conducted outside of the facility, such as by individuals serving as a Power of Attorney. The MFCU employs investigators, attorneys, nurses, auditors, and support staff use a team approach when conducting investigations. In accordance with KRS Chapter 209, prosecutors with the MFCU may bring such criminal cases against individuals or corporations upon a request from the applicable county or commonwealth attorney. Otherwise, a county attorney (for misdemeanors) or a commonwealth's attorney (for felonies) has the jurisdiction to prosecute criminal cases against the subjects of MFCU abuse, neglect, or financial exploitation cases. MFCU attorneys can also bring criminal or civil actions regarding Medicaid provider fraud concerning fraud that occurs at Medicaid funded facilities. In addition, the MFCU can also bring cases to federal prosecutors for criminal and civil actions by the United States.

The MFCU receives allegations of abuse, neglect, and financial exploitation from various sources. Direct referrals come from patients and family members. Some of these referrals come through the OAG abuse tip line, 877 ABUSE TIP (877-228-7384), which is answered 24 hours a day, seven days a week. The MFCU also receives information directly from law enforcement agencies, service providers, and facilities. Most allegations are received through CHFS. MFCU investigators conduct investigations of allegations and, where applicable, work with other law enforcement agencies in these investigations. In addition to the investigations and prosecutions conducted by members of the MFCU, the MFCU focuses on outreach efforts and training to the community and law enforcement. The MFCU edits the prosecution manual of crimes against the elderly for county and commonwealth's attorneys.

Mission:

To protect and promote the rights of Kentuckians with disabilities through legally based individual and systemic advocacy, and education.

The Office of the Inspector General (OIG) is Kentucky's agency responsible for licensing all health care facilities and services, including long-term care facilities, child day care centers, child-caring facilities, and child-placing agencies in the Commonwealth. The OIG is also responsible for the prevention, detection, and investigation of alleged fraud, abuse, waste, mismanagement, and misconduct by the cabinet's clients, employees, medical providers, vendors, contractors, and subcontractors. Additionally, the OIG conducts special investigations into matters related to the cabinet and its programs, as requested by the cabinet secretary, commissioners, or office heads. The OIG is also responsible for determining and issuing certificates of need for certain types of health care across the Commonwealth.

On November 28, 2017, the new Centers for Medicare and Medicaid Services (CMS) Emergency Preparedness (EP) regulations went into effect for all certified facilities to ensure that facilities have extensive plans in place to protect vulnerable residents during a disaster. In 2018, through the Civil Penalty Fund grant program, Emergency Preparedness 101 was offered to both providers and the state survey agency. In 2019, Emergency Preparedness 201 was completed and Emergency Preparedness 301 sessions began in late 2019. All scheduled trainings for 2020 were suspended due to the public health emergency.

COLLABORATIVE PARTNERS

The OIG, Division of Health Care, is responsible for inspecting, monitoring, licensing, and certifying all health care facilities as defined by KRS 216.510(1). The division also investigates complaints against health care facilities, facility plan review, and developing regulations. The division recommends various long-term care facilities for certification to receive Medicaid and Medicare funds through contracts with the Centers for Medicare and Medicaid of the Services of the U.S. Department of Health and Human Services. The branch offices of the division are responsible for conducting onsite visits to health care facilities in the state to determine compliance with applicable licensing regulations and Medicare/Medicaid certification requirements. The branch office staff investigate complaints concerning these facilities.

The OIG, Division of Health Care, has a citizens assistance specialist in each of the four branch offices to receive and review complaints. The citizens assistance specialist notifies DCBS APS, upon receipt of an allegation of abuse, neglect, or misappropriation of resident property. If a determination is made that immediate jeopardy may be present and ongoing in a Medicare/ Medicaid certified long-term care facility, the OIG is required to investigate within two working days of receipt of the complaint. Upon conclusion of the investigation, if the subject is found by the OIG to have abused or neglected a facility resident or misappropriated resident property, the OIG reports the substantiated finding to the nurse aide abuse registry or appropriate professional licensing boards. An individual is placed on the nurse aide registry after their appeal rights have been exhausted. They are also reported to the Kentucky Board of Nursing, if applicable. Due to the public health emergency, routine on-site survey activity was suspended for a period of time as directed by CMS. However, complaints that were triaged as immediate jeopardy related to abuse, neglect, and misappropriate of property were investigated.

Additional information on how to report a complaint regarding a licensed long-term care facility is posted on the OIG's website at https://chfs.ky.gov/agencies/os/oig/dhc/Pages/default.aspx.

The United States Attorney's Office for the Eastern District of Kentucky, in partnership with the United States Attorney's Office for the Western District of Kentucky and in coordination with the United States Department of Justice Civil Division, has launched an Elder Justice Task Force to combat neglect, abuse, and financial exploitation of seniors occurring in Kentucky.

The Task Force brings together federal, state, and local agencies involved in protecting the elderly. Task Force members include the Kentucky Attorney General's Office, Kentucky CHFS, Kentucky Long-Term Care Ombudsman, Kentucky Department for Financial Services, Kentucky State Police, Jefferson County and Fayette County Commonwealth Attorney's Offices, Office of Inspector General for the U.S. Department of Health and Human Services, Federal Bureau of Investigation, Internal Revenue Service, U.S. Postal Service, U.S. Department of Veteran Affairs, U.S. Secret Service, and U.S. Social Security Administration, among others.

As part of this initiative, the office is actively engaged in efforts to:

- Pursue nursing homes and other facilities that provide grossly substandard care to seniors
- Investigate and prosecute financial scams targeting or disproportionately impacting seniors
- Promote greater coordination with state and local partners to combat elder abuse
- Provide training and resources to law enforcement to identify and respond to elder abuse

COLLABORATIVE PARTNERS

Kentucky Protection and Advocacy (P&A) is a client-directed legal advocacy agency that protects and promotes the rights of Kentuckians with disabilities through information and referral, client representation, group advocacy, and systems change. Federal and state laws direct Kentucky P&A to advocate for and to protect individuals with disabilities from abuse and neglect. P&A receives funding from the Administration on Developmental Disabilities, the Center for Mental Health Services Substance Abuse and Mental Health Services Administration, the Rehabilitation Services Administration, the Health Resources and Services Administration, the Social Security Administration, and the Commonwealth of Kentucky. P&A provides information, referral, and direct client representation. When P&A cannot offer assistance, referrals are made to the appropriate agency. P&A offers direct client representation on Olmstead issues such as transitioning from nursing homes and personal care homes to the community, reconsideration and appeals of Medicaid waivers and services denials, and investigation of abuse and neglect allegations. In cases where there are allegations of abuse and neglect, P&A is granted the authority to investigate the allegations through its access authority to individuals with disabilities, their records, and the locations where they receive services and supports. P&A is also granted the authority to monitor nursing homes, personal care homes, and hospitals. P&A conducts abuse and neglect investigations of agencies that receive government funding to provide services to individuals with disabilities.



Local Coordinating Councils on Elder Abuse (LCCEA) were established under the joint leadership of the Area Agencies on Aging and local adult protective services (APS) staff of the Kentucky Cabinet for Health and Family Services and are organized along regional or county lines, depending on the needs of each location. Membership of each coordinating council includes APS staff, local law enforcement officers, judges, prosecutors, state police, bankers, care providers, long-term care ombudsman and other advocates for the elderly. The council's goals are to:

- develop and build an effective communitywide system of prevention and intervention that is responsive to the need of victims, perpetrators, family members and formal or informal caretakers.
- identify and coordinate the roles and services of local agencies that work with elder abused, neglected or exploited victims and to investigate or prosecute elder abuse cases.
- monitor, evaluate, and promote the quality and effectiveness of services and protection in the community.
- promote a clear understanding of elder abuse, current laws, elder rights and resources available in the community.
- serve as a clearinghouse for information on elder issues.

KIPDA Rural LCC

• Distributed 728 bags of valuable information. The bags included information about elder abuse, financial exploitation, Medicare, caregiver resources, File of Life, and KIPDA services, as well as promotional material with the elder abuse hotline number.

Madison County Council on Elder Maltreatment Prevention

- "Shop with a Cop"
- Big Sandy Council on Elder Maltreatment
- Drive-by events at each senior center for World Elder Abuse Awareness Day.
- Online auctions and fundraisers to support awareness activities.
- Domestic Violence and Elder Abuse Awareness Conference—Virtual.

NKY Elder Maltreatment Alliance (EMA)

 World Elder Abuse Awareness Day Virtual Training Event

Bluegrass Elder Abuse Prevention Council

- Expanded distribution materials to include Spanish versions
- Provided financial support for Dementia Friendly Lexington
- Supplied materials/information to the Lexington Senior Center health screening outreach to 175 seniors.
- Obtained proclamations for Elder Abuse Awareness and Exploitation Month to display at various events.

Goals:

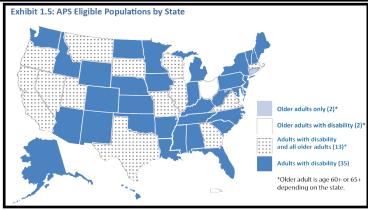
- Continue to build membership on councils to increase the impact on communities
- Continue to increase awareness of abuse, neglect, and exploitation of adults in Kentucky.
- Expand on utilization of resources available to protect our vulnerable population
- Continue outreach to elders & their communities about the ongoing effects of the COVID-19 pandemic on vulnerable adults

Interested in joining a council in your area? Please visit https://chfs.ky.gov/ agencies/dcbs/dpp/apb/Documents/ localcoordinatingcouncilmap.pdf.

National Adult Maltreatment Reporting System

Overview of NAMRS

The National Adult Maltreatment Reporting System (NAMRS) is a national program designed to collect consistent and accurate APS data from each state and territory. Kentucky has been contributing data since 2017. There are three components of NAMRS: agency component, key indicator component, and case component. The agency component consists of an overview of the operational structure of the state's APS program. This component includes state program contacts, summary intake data, and laws and policies governing the APS program. The key indicators component (20 data elements) includes summary information on investigations, clients/victims, perpetrators, maltreatment type, and client-perpetrator relationship. The case component (54 data elements) includes detailed information about investigations, clients/victims, perpetrators,



maltreatment type, and client-perpetrator relationship. Currently, two-thirds of states/territories provide some combination of the case component data. In the federal fiscal year 2020 (October 1st, 2019—September 30th, 2020), 100% of states/territories submitted NAMRS data. Below is data that is directly pulled from the most recent report compiled by NAMRS. This report can be found at Adult Maltreatment Report 2021 (acl.gov). (McGee, L. & Urban, K. (2021). Adult Maltreatment Data Report 2020. Submitted to the Administration for Community Living, U.S. Department of Health and Human Services).

| Maltreatment Types | Definitions | % of States Investigating | 2020 Data at |
|-----------------------------|---|------------------------------|---|
| Neglect | The failure of a caregiver or fiduciary to provide the goods or services necessary to maintain the health or safety of a person. Includes acts of omission and of commission (including willful deprivation, etc.). | 98.2% | 1,327,019 Reports of alleged maltreatment received by APS programs AT.7% Screened out Does not meet criteria for APS |
| Physical Abuse | The use of force or violence resulting in bodily injury, physical pain, or impairment. Excludes sexual abuse. | 98.2% | by APS programs May be referred to other community services |
| Self-Neglect | A person's inability, due to physical or mental impairment or diminished capacity, to perform essential self-care tasks including obtaining essential food, clothing, shelter, and medical care; obtaining goods and services necessary to maintain physical health, mental health, or general safety; hoarding; or managing one's own financial affairs. | 96.4% | Submitted by 59.6% professionals 14.3% relatives 4.9% self-reports 11.9% other Allegation type* 49.2% self-neglect |
| Sexual Abuse | Non-consensual sexual contact of any kind, including sexual contact with any person incapable of giving consent. | 94.6% | 24.6% neglect 24.6% exploitation 13.1% physical abuse 1.5% sexual abuse |
| Financial Exploitation | The illegal or improper use of an individual's funds, property, or assets for another person's profit or advantage. | 87.5% | 774,870 517,481 (66.7%) Individuals had an |
| Emotional Abuse | The infliction of anguish, pain, or distress through verbal or non-verbal acts; this includes but is not limited to verbal assaults, insults, threats, intimidation, humiliation, and harassment | 82.1% | Individuals received an investigation (clients) unsubstantiated or inconclusive investigation |
| Exploitation (non-specific) | The illegal or improper use of an individual or of an individual's funds, property, or assets for another's profit or advantage. | 50.0% | 258,389 (33.3%) Individuals had a substantiated investigation (victims) |
| Abandonment | The desertion of a person by an individual who has assumed responsibility for providing care for that person, or by an individual with physical custody of another person. | 42.9% | 44.0% of clients and 52.7% of victims |
| Suspicious Death | An unexpected fatality or one in which circumstances or cause are medically or legally unexplained. | 16.1% | * A single investigation may have more than one maltreatment type |

Coronavirus Response and Relief Supplemental Appropriations Act of 2021: Grants to Enhance Adult Protective Services to Respond to COVID-19 \$1,216,527 Awarded To Kentucky

The Administration for Community Living (ACL) is establishing the "Coronavirus Response and Relief Supplemental Appropriations Act of 2021: Grants to Enhance Adult Protective Services to Respond to COVID-19" funding opportunity to implement Section 2042(b) of Subtitle B of Title XX of the Social Security Act, otherwise known as the Elder Justice Act (EJA) as authorized and funded through the Coronavirus Response and Relief Supplemental Appropriations Act, 2021.

Funding Purpose

Funds expended from the Coronavirus Response and Relief Supplemental Appropriations Act, 2021, are to be spent in accordance with Elder Justice Act Section 2042(b) for APS programs to respond to the Coronavirus Emergency. Funds awarded under this opportunity will provide adult protective services programs (APS) in the states and territories with resources related to their response during the Coronavirus Public Health Emergency. APS programs must expend funds on allowable activities as defined by the Elder Justice Act and State and local policy. This funding is intended to enhance, improve, and expand the ability of APS to investigate allegations of abuse, neglect, and exploitation in the context of COVID-19 and to respond to the needs of adults experiencing such abuse, neglect, and exploitation.

American Rescue Plan Act of 2021 Grants to Enhance Adult Protective Services: \$1,115,193 Awarded To Kentucky

The Administration for Community Living (ACL) has established the "American Rescue Plan Act of 2021: Grants to Enhance Adult Protective Services" funding opportunity in accordance with Section 2042(b) of Subtitle B of Title XX of the Social Security Act, otherwise known as the Elder Justice Act (EJA) as authorized and funded through the American Rescue Plan Act of 2021 (P.L. 117-2).

Funding Purpose

Funds expended from the American Rescue Plan Act of 2021 (P.L. 117-2) are to be spent in accordance with EJA Section 2042(b) for APS programs. Funds awarded under this opportunity will provide APS programs in the states and territories with resources to enhance, improve, and expand the ability of APS to investigate allegations of abuse, neglect, and exploitation.

Operational Plan

To receive this funding, the APS program must agree and assure that they will create a three to five year plan for how the program will use these funds to improve and enhance the APS system at the state and local level, and submit it to ACL within six-months of the award date. The intent is for states/territories to plan how best to deploy and invest the funds made available under the American Rescue Plan Act of 2021, as well as other resources. The plans are intended to be a practical guide to making investments in APS programs and systems, and not simply a vision statement. These plans should use the award amounts under this FRN for planning the out-years. However, these plans should also prioritize activities/investments in the event the actual funding is different from planned funding. APS programs must set aside a reasonable portion of funds to prepare the operational plan.



C/O USC Keck School of Medicine
Dept. of Family Medicine and Geriatrics
1000 South Fremont Ave ,Unit 22, A-6
Alhambra, CA 91803
1-855-500-3537
https://ncea.acl.gov/

225 N. Michigan Ave., FL. 17 Chicago, IL 60601 800-272-3900 https://www.alz.org/





330 C Street SW Washington, DC 20201 (202) 401-4634 https://acl.gov/



333 Westchester Ave
Suite South 201
White Plains, NY 10604
http://www.preventelderabuse.org/

https://www.guardianship.org/ 174 Crestview Drive Bellefonte, PA 16823 (877) 355-2452



THE NATIONAL RESOURCE CENTER



251 18th St South, Ste 500 Arlington, VA 22202 (571) 527-3900 https://www.ncoa.org/

1001 Connecticut Ave, NW, Ste 632 Washington, DC 20036 (202) 332-2275 https://ltcombudsman.org/



1612 K Street NW #200 Washington, DC 2006 (202) 370-6292 https://www.napsa-now.org/



http://www.advancingstates.org/ 241 18th Street S, Suite 403 Arlington, VA 22202 (202) 898-2578

Elder Justice Initiative

950 Pennsylvania Avenue, NW Washington, DC 20530-0001 1-855-4VICTIM (1-855-484-2846)

https://www.justice.gov/elderjustice



om • buds • man The National Long-Term Care
Ombudsman Resource Center

KENTUCKY ELDER ABUSE COMMITTEE—MOVING FORWARD

In 2005, House Bill 298 established KRS 209.005, creating the Kentucky Elder Abuse Committee to address issues of prevention, intervention, investigation, and agency coordination of services on a state and local level through interaction with local groups or entities that either directly or indirectly provide services to older adults. In 2021, the committee continued assessing the focus areas defined by KRS 209.005 and identified opportunities for further progress.

Recommend a model protocol for the joint multidisciplinary investigation of reports of suspected abuse, neglect, or exploitation of older adults.

Recommendation:

The committee will continue to research model protocols for joint multidisciplinary investigations and in 2022 will recommend any next steps needed to enhance multidisciplinary investigations.

Recommend practices to assure timely reporting of referrals of abuse, neglect, or exploitation required under KRS 209.030(12).

Recommendation:

The committee will support and provide the Local Coordinating Councils on Elder Abuse the tools and resources to promote awareness on mandatory reporting.

The committee will support creation of a tool to aide officers in identifying and reporting abuse, neglect, or exploitation and directing victims to supportive services.

Recommendation:

Explore the need for a comprehensive statewide resource directory of services for older adults.

The committee continues to support a comprehensive statewide directory and identified kynect resources, which is a site that lets residents browse community programs and services that can help with needs like food insecurity, housing concerns, and childcare. Kynect resources allow residents, community partners, and state agencies to work together toward a better Kentucky. Kynect has an elder care section listed under resources that would provide a directory of services for older adults.

Moving forward in 2022, the committee will continue to support the Adult Protection Branch and revisions to the Elder Abuse Website.

Recommendation:

Enhance existing public awareness campaigns for elder abuse and neglect. The committee will continue to partner with interested agencies to provide multi-agency support of World Elder Abuse Awareness Day. On June 15, 2021, the committee partnered with the Adult Protection Branch to host a flag installation event at the state Capitol.

The committee will also continue to work with the Justice & Public Safety Cabinet to assist in the creation of a communication plan to inform the Administration of the Courts, judges, prosecutors, and other stakeholders about KRS 41.305.

Provide forums for the exchange of information to educate the elder population and their families on the rights of elders.

Recommendation:

Through funds awarded by the Coronavirus Response and Relief Supplemental Act of 2021 and the American Rescue Plan Act of 2021, adult protective services and the committee will expand upon the information provided on the DCBS Elder Abuse Awareness website to include member activities, presentations, and events; offer standardized tools and resources; provide links to member agencies; and create a central calendar for relevant events.

WEBSITE REFERENCES

| | http://dbhdid.ky.gov/kdbhdid/ |
|--|--|
| | * Training Modules: |
| | http://content.elsevierperformancemanager.com/Content/KY406/ |
| | Kentucky%20College%20of%20Direct%20Support%20Required% 20Modules.pdf |
| Department for Behavioral Health, | * Trauma Informed Behavioral Intervention: |
| Developmental and Intellectual Disabilities | https://content.elsevierperformancemanager.com/Content/KY406/ |
| | Webinar%20Handouts/2018%203rd%20Quarter/August%202,% |
| | 202018%20Trauma%20Informed% 20Behavior% 20Interventions.pdf |
| | * Human Rights and Behavioral Intervention Committee training: |
| | https://dbhdid.ky.gov/ddid/hrcbic.aspx# |
| | |
| Local Coordinating Councils on Elder | https://chfs.ky.gov/agencies/dcbs/dpp/apb/Documents/ |
| Abuse (MAP) | localcoordinatingcouncilmap.pdf |
| | |
| Centralized Intake | https://prd.wohones.chfs.kg/gov/ronortohuss/hones.cspy |
| web portal | https://prd.webapps.chfs.ky.gov/reportabuse/home.aspx |
| | |
| | |
| | |
| | |
| | |
| | |
| | |
| | |
| | |